

## ANNEXURE I

### Form No. MGT-9 EXTRACT OF ANNUAL RETURN

As on financial year ended on 31<sup>st</sup> March 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

#### I. REGISTRATION AND OTHER DETAILS:

CIN	:	U67190MH2002PTC137620
Registration Date	:	18.10.2002
Name of the Company	:	VUK FINVEST CONSULTANTS PRIVATE LIMITED
Category / Sub-Category of the Company	:	PRIVATE COMPANY LIMITED BY SHARES INDIAN NON GOVERNMENT COMPANY
Address of the Registered office and contact details	:	9 RUBY MAHAL GROUND FLOOR 30-D CAWASJI PATEL STREET FORT, MUMBAI- 400001 Tel: 022-22020688/ 022-22881306
Whether listed company	:	No
Name, Address and Contact details of Registrar and Transfer Agent, if any:	:	Not Applicable

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the company
1	Activities Auxiliary To Financial Intermediation	67190	100

#### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES: NA

Sr. No.	Name and address of the Company	CIN / GLN	Holding / subsidiary / associate	% of shares held	Applicable section
-	-	-	-	-	-



a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(1):	-	-	-	-	-	-	-	-	-
(2) Non-Institutions									
a) Bodies Corp.									
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	2200	2200	22%	-	2200	2200	22%	NIL
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (HUF)	-	1700	1700	17%	-	1700	1700	17%	NIL
Sub-total(B)(2):		3900	3900	39%		3900	3900	39%	NIL
Total Public Shareholding (B)= (B)(1) + (B)(2)	-	3900	3900	39%		3900	3900	39%	NIL
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	0	10,000	10,000	100%	-	10,000	10,000	100%	NIL

**ii. Shareholding of Promoters:**

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1	Parag V. Shah	4,600	46.00%	0	4,600	46.00%	0	NIL
2	Vasanti U Kapadia	900	9.00%	0	900	9.00%	0	NIL
3	Umang P Kapadia	600	6.00%	0	600	6.00%	0	NIL
	<b>TOTAL</b>				<b>6,100</b>	<b>61.00%</b>	<b>0</b>	<b>NIL</b>

**iii) Change in Promoters' Shareholding** (Please specify, if there is no change): NIL

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	At the beginning of the year	<b>6,100</b>	<b>61.00%</b>	<b>6,100</b>	<b>61.00%</b>
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/ sweat equity etc)	-	-	-	-
	At the End of the year			<b>6,100</b>	<b>61.00%</b>

There was no change in Promoters' Shareholding.

**Shareholding pattern of Top Ten shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

<b>Sl. No.</b>		<b><u>Shareholding at the beginning of the year</u></b>		<b><u>Cumulative Shareholding during the year</u></b>	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	For each of the Top 10 Shareholders				
1	<b>Mr. Umang Kapadia as Karta of Umang P. Kapadia (HUF)</b>				
	At the beginning of the year	1,600	16%	1,600	16%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)	-	-	-	-
	At the End of the year ( or on the date of separation, if separated during the year)			1,600	16%
2	<b>Mr. Dharmesh U. Kapadia</b>				
	At the beginning of the year	950	9.5%	950	9.5%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)				
	At the End of the year ( or on the date of separation, if separated during the year)			950	9.5%
3	<b>Ms. Sakshi U. Kapadia</b>				

	At the beginning of the year	950	9.5%	950	9.5%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)				
	At the End of the year ( or on the date of separation, if separated during the year)			950	9.5%
4	<b>Mrs. Hemal Parag Shah</b>				
	At the beginning of the year	100	1%	100	1%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)				
	At the End of the year ( or on the date of separation, if separated during the year)			100	1%
5	<b>Mr. Rahil Parag Shah</b>				
	At the beginning of the year	100	1%	100	1%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)				
	At the End of the year ( or on the date of separation, if separated during the year)			100	1%
6	<b>Ms. Miloni Parag Shah</b>				

	At the beginning of the year	100	1%	100	1%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)				
	At the End of the year ( or on the date of separation, if separated during the year)			100	1%
7	<b>Mr. Parag Shah as Karta of Parag V. Shah (HUF)</b>				
	At the beginning of the year	100	1%	100	1%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)				
	At the End of the year ( or on the date of separation, if separated during the year)			100	1%

iv. **Shareholding of Directors and Key Managerial Personnel:**

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	<b>Parag V Shah- WTD</b>				
	At the beginning of the year	4,600	46.00%	4,600	46.00%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment /	-	-	-	-

	transfer / bonus / sweat equity etc)				
	At the End of the year			4,600	46.00%
2	<b>Vasanti U Kapadia- WTD</b>				
	At the beginning of the year	900	9.00%	900	9.00%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)	-	-	-	-
	At the End of the year			900	9.00%
3	<b>Umang P Kapadia- WTD</b>				
	At the beginning of the year	600	6.00%	600	6.00%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)	-	-	-	-
	At the End of the year			600	6.00%

#### **V INDEBTEDNESS:**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during				



the financial year				
Addition	-	-	-	-
Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

## **VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:**

### ***A. Remuneration to Managing Director, Whole-time Directors and/or Manager:***

Sl. No.	Particulars of Remuneration	Name of MD/ WTD/ Manager				Total Amount
		Umang Kapadia	Parag Shah	Vasanti Kapadia		
1	Gross salary	12,00,000	8,50,000	5,95,000		26,45,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-	-
2	Stock Option	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
4	Commission	-	-	-	-	-
	- as % of profit	-	-	-	-	-
	- others, specify	-	-	-	-	-
5	Others, please specify (Sitting Fees)	-	-	-	-	-
	Total (A)	12,00,000	8,50,000	5,95,000		26,45,000
	Ceiling as per the Act	N.A.	N.A.	N.A.		-

**B. Remuneration to Other Directors: N.A**

Sl. No.	Particulars of Remuneration	Name of Directors				Total Amount
	1. Independent Directors					
	Fee for attending board / committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify	-	-	-	-	-
	Total (1)	-	-	-	-	-
	2. Other Non-Executive Directors					
	Fee for attending board / committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify	-	-	-	-	-
	Total (2)	-	-	-	-	-
	Total (B)=(1+2)	-	-	-	-	-
	Total Managerial Remuneration	-	-	-	-	-
	Overall Ceiling as per the Act	-	-	-	-	-

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD: N.A**

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-

	(c) Profits in lieu of salary under section 17(3) Income tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- as % of profit	-	-	-	-
	- others, specify	-	-	-	-
5	Others, please specify	-	-	-	-
	Total	-	-	-	-

There are no Key Managerial Personnel in the Company as the Company is not required to appoint Key Managerial Personnel pursuant to the provisions of Section 203 of the Companies Act, 2013 and Rule 8 of the Companies (Appointment & remuneration of Managerial Personnel) Rules, 2014.

**VII PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES: NIL**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD /NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>B. DIRECTORS</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

**FOR VUK FINVEST CONSULTANTS PRIVATE LIMITED**

**UMANG KAPADIA**  
DIRECTOR  
DIN - 00364759

**PARAG SHAH**  
DIRECTOR  
DIN - 00379965

Place: Mumbai  
Date: 07/09/2019